

Introductory sub-table (I)(a)

Name of the Company: Sai Baba Investment and Commercial Enterprises Limited

Scrp Code, Name of the scrip, class of security: NA

Quarter ended: 30th September, 2013

Partly paid-up shares:- No. of partly paid-up shares As a % of total no. of shares of the company
 As a % of total no. of partly paid-up shares of the company

Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL

Outstanding convertible securities:- No. of outstanding convertible securities As a % of total no. of shares of the company, assuming full conversion of the securities
 As a % of total no. of outstanding convertible securities

Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL

Warrants:- No. of warrants As a % of total no. of warrants company, assuming full conversion of shares of the warrants
 As a % of total no. of warrants

Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities 2,45,000 NIL NIL

(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.	Name of the shareholder	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(V)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	
No.	(i)	(ii)	(iv)	(v)	(VI)=(V)/(III)*100	(VIII)	(IX)	(X)	(XI)	(XII)
1	Rajn Avtar Sharma	290	0.12	0	0.00	0	0.00	0	0	290
2	Jai Prakash Goel	510	0.21	0	0.00	0	0.00	0	0	510
3	Vishwanath Khemke	10	0.00	0	0.00	0	0.00	0	0	10
4	Raj Kumar Dayma	10	0.00	0	0.00	0	0.00	0	0	10
5	Ved Prakash Sharma	10	0.00	0	0.00	0	0.00	0	0	10
6	Jag Pal Singh	10	0.00	0	0.00	0	0.00	0	0	10
7	Om Prakash Gupta	610	0.25	0	0.00	0	0.00	0	0	610
TOTAL		1450	0.59	0	0.00	0	0.00	0	0	1450

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
			(IV)	(V)	(VI)	(VII)	(VIII)	(X) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(X) = (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	7	1450	NIL	0.59	0.59	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0.00	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0.00	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0.00	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0.00	0.00
(e-i)	-	-	-	-	-	-	-	-
(e-ii)	-	-	-	-	-	-	-	-
	Sub Total(A)(1)	7	1450	0	0.59	0.59	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0	0	0	0
b	Bodies Corporate	0	0	0	0	0	0	0
c	Institutions	0	0	0	0	0	0	0
d	Qualified Foreign Investor	0	0	0	0	0	0	0
e	Any Others(Specify)	0	0	0	0	0	0	0
e-i	-	-	-	-	-	-	-	-
e-ii	-	-	-	-	-	-	-	-
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	7	1450	0	0.59	0.59	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions /Banks	0	0	0	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(i-ii)								
(i-ii)								
	Sub-Total (B)(1)	0	0	0	0.00	0.00	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	1	20100	0	8.20	8.20	0	0.00
(b)	Individuals			0	0.00	0.00	0	0.00

I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	496	209500	0	85.51	85.51	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	1	13950	0	5.69	5.69	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(d-i)		-	-	0	0.00	0.00	0	0.00
(d-ii)								
	Sub-Total (B)(2)	498	243550	0	99.41	99.41	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	498	243550	0	99.41	99.41	0	0.00
							0	
	TOTAL (A)+(B)	505	245000	0	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0
	Sub-Total (C)	0	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	505	245000	0	0	100.00	0	0.00

Sr.No **Notes**

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(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Shree Ganesh Invest & Ind.Ltd.	20100	8.20	0	0	0	0	20100
2	Devender Kumar	13950	5.69	0	0	0	0	13950
3	Arvind Kumar Gupta	10000	4.08	0	0	0	0	10000
4	Ujjawal Gupta	10000	4.08	0	0	0	0	10000
5	Umang Gupta	10000	4.08	0	0	0	0	10000
6	Deepali	10000	4.08	0	0	0	0	10000
7	Sunita Gupta	8400	3.43	0	0	0	0	8400
8	Kusum Bansal	5000	2.04	0	0	0	0	5000
9	Shiv Shankar Gupta	5000	2.04	0	0	0	0	5000
10	Amrik Gupta	3500	1.43	0	0	0	0	3500
11	Bhagwati Gupta	3350	1.37	0	0	0	0	3350
12	Ram Niwas Gupta	2500	1.02	0	0	0	0	2500
TOTAL		101800	41.55	0	0	0	0	101800

(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1			
2			
3			
4			
5			
6			
7			
8			
9			
TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDR, SDR, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
2				
3				
4				
5				
6				
7				
8				
9				
TOTAL				

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held

by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1				
2				
3				
4				
5				
6				
7				
8				
9				
TOTAL				